

Specimen: five rows of the maturity register

ISO/DIS 37014, Edition 1, stage 40.60 close of voting, 10 June 2026. The draft is guidance, not a certifiable requirement. The requirements, aspects, activities and scale come from the published ISO 37004:2023 text, which the draft states it builds on. The planning date of 15 September 2027 is our estimate from the stage record, not an ISO date.

What this is. This is a labelled specimen: the first five rows of the register, the column order and the plan. It is not a client record. 35 model requirements with the group application, evidence and common gaps; a 54-cell worksheet; 39 evidence items; the boundary map; the plan; and the change statement. It does not reproduce the ISO text and it does not certify.

The dated plan

Date	Action	What it covers	Evidence to keep
2026-10-28	Decide and record	Decide whether to apply the model this cycle, defer it or decline it. Record the decision, the owner and the reason in the change statement.	A one-page decision record with owner and date.
2026-11-11	Build the boundary map	List the controller, each controlled entity, each governing body and the instrument of control. Test the draft definition and record the answer.	A dated boundary map with the constituting documents cited.
2026-11-25	Record the group purpose	Write the purpose the controller has set and have it adopted, so the definition is met on the record.	The group purpose statement and the board minute.
2026-12-09	Adopt the method	Choose the 0 to 5 scale, the three aspects and the 18 dimensions, and fix the aggregation rule before any rating.	The evaluation design, one document, with the instrument.
2027-01-13	Inventory the components	List the seven component types at group and entity level, with adoption, communication and review status.	The component inventory with an owner and a status.
2027-02-10	Take the board commitment	Obtain a governing body resolution establishing the evaluation, its interval, its delegation and its resources.	The signed resolution and the resource note.
2027-03-10	Rate the dimensions	Rate behaviour, effectiveness and efficiency for each dimension at group and entity level, with evidence cited.	The rating sheet and the evidence behind each rating.
2027-04-14	Aggregate and explain outliers	Apply the mean-rounded-down rule and record every entity more than one level from the group.	The aggregation worksheet with the outlier notes.
2027-05-12	Decide appropriateness and targets	Record the level that is appropriate for each dimension in this group's context, and set short and long term targets.	The appropriateness decision, targets and owners.
2027-06-09	Report	Report the levels, the rationale, the targets and the next review to the board and to relevant stakeholders.	The maturity report and the board minute.
2027-09-15	Planning date check	Check the ISO record for the FDIS and publication stage. The date is our estimate, not an ISO date.	A dated stage note.
After publication	Reissue the register	Kit buyers receive the register rebuilt against the published text at no extra charge.	The published record, the reissued files and a change log row.

The maturity worksheet

Dimension	Aspect	Level found group	Level found entity	Evidence cited	Next action
An integrated organizational governance framework	Governance behaviour				
An integrated organizational governance framework	Governance effectiveness				
An integrated organizational governance framework	Governance efficiency				
Effective delegation	Governance behaviour				
Effective delegation	Governance effectiveness				
Effective delegation	Governance efficiency				
Governance and management distinguished	Governance behaviour				
Governance and management distinguished	Governance effectiveness				
Governance and management distinguished	Governance efficiency				
Sustainability as a basic consideration	Governance behaviour				
Sustainability as a basic consideration	Governance effectiveness				
Sustainability as a basic consideration	Governance efficiency				
Fair treatment of stakeholders	Governance behaviour				
Fair treatment of stakeholders	Governance effectiveness				
Fair treatment of stakeholders	Governance efficiency				
Governing body composition and structure	Governance behaviour				
Governing body composition and structure	Governance effectiveness				
Governing body composition and structure	Governance efficiency				
Governing body competence	Governance behaviour				
Governing body competence	Governance effectiveness				
Governing body competence	Governance efficiency				
Accountability	Governance behaviour				
Accountability	Governance effectiveness				

Dimension	Aspect	Level found group	Level found entity	Evidence cited	Next action
Accountability	Governance efficiency				
Data and decisions	Governance behaviour				
Data and decisions	Governance effectiveness				
Data and decisions	Governance efficiency				
Leadership	Governance behaviour				
Leadership	Governance effectiveness				
Leadership	Governance efficiency				
Oversight	Governance behaviour				
Oversight	Governance effectiveness				
Oversight	Governance efficiency				
Purpose	Governance behaviour				
Purpose	Governance effectiveness				
Purpose	Governance efficiency				
Risk governance	Governance behaviour				
Risk governance	Governance effectiveness				
Risk governance	Governance efficiency				
Social responsibility	Governance behaviour				
Social responsibility	Governance effectiveness				
Social responsibility	Governance efficiency				
Stakeholder engagement	Governance behaviour				
Stakeholder engagement	Governance effectiveness				
Stakeholder engagement	Governance efficiency				
Strategy	Governance behaviour				
Strategy	Governance effectiveness				
Strategy	Governance efficiency				

Dimension	Aspect	Level found group	Level found entity	Evidence cited	Next action
Value generation	Governance behaviour				
Value generation	Governance effectiveness				
Value generation	Governance efficiency				
Viability and performance over time	Governance behaviour				
Viability and performance over time	Governance effectiveness				
Viability and performance over time	Governance efficiency				

Change statement (one page)

We reviewed the group boundary and the governance maturity record on _____. The review covered the controlling member stakeholder and the group purpose, the 0 to 5 scale and the three aspects, the evidence behind each rating, the level found and the level that is appropriate, and the report to the board. The decision was _____. The owner is _____. The next review is due _____. ISO/DIS 37014 is draft guidance and this statement does not certify anything.

Item	Decision	Owner	Date	Reason
Apply the model to the group this cycle				
Treat the group as a controlled group under the draft definition				
Adopt the 0 to 5 scale and the three aspects				
Report at group and entity level				
Subscribe to the change watch				
Review the register at the next board review				

The register

Ref	Code	Draft heading	Requirement	Group application	Evidence to keep	Common gap
ISO37014-4.1	4.1	4.1 General	General: the purpose of evaluating governance maturity and its three aspects	In a controlled group, the frame is asked twice: once for the group and once for each controlled entity. A group result that looks healthy can hide an entity whose board cannot reach the outcomes because the controller has taken the decisions that matter. Record both levels before you interpret the group number.	Evaluation design naming the three aspects and the ISO 37000 outcomes as its frame	Maturity judged on documentation alone, ignoring behaviour and effectiveness
ISO37014-4.2	4.2	4.2 Governance behaviour	Governance behaviour	Behaviour is rated on both boards. The controller's board is judged on how it applies the principle across the group, and the entity board on how it applies it inside its own organization while staying within the group direction. The draft's concern is a capable entity board that has stopped exercising judgement, and only a separate rating shows it.	Evidence of each of the six features in board records and interviews	Adoption claimed with no ability to explain the rationale or the application
ISO37014-4.3	4.3	4.3 Governance effectiveness	Governance effectiveness	Effectiveness has to be judged where the practice operates. A group-wide practice may meet the group purpose and miss the controlled entity's own objective, especially where the entity has a regulator or a purpose the group does not share. Rate the practice at each level and record the divergence rather than averaging it away.	Mapping of governance practices to each principle's objective and key aspects of practice	Meeting administration counted as governance practice
ISO37014-4.4.1	4.4.1	4.4 Governance efficiency	General: governance efficiency and governance components	The seven component types exist at two levels in a group, and the gap between them is the finding. The group may hold a full set that the entity boards have never seen, or each entity may hold its own set with no group framework above them. The inventory should show both columns.	Inventory of the seven component types with their adoption and communication status	Components in draft or never formally adopted; Supporting components not aligned with the primary framework
ISO37014-4.4.2	4.4.2	4.4 Governance efficiency	Governance frameworks	The governance framework is the first condition as well as a component. In a group, the test is whether the entity frameworks are coordinated by a group framework or merely collected under a common owner. Record the coordination mechanism, not only the existence of the documents.	Documented organizational governance framework covering the Table 1 topics	Framework absent, or existing only as an organization chart; No provision for its own review

Not legal advice; does not certify. Source record: 0c1900cd2cca693e. Retrieved 2026-10-21. The full register columns, the evidence list, the boundary map and the plan are in the CSV and XLSX.